ASSESSMENT OF HYPOXIA ASSOCIATED MARKERS IN OESOPHAGOGASTRIC CANCER

A thesis submitted to The University of Manchester for the degree of Doctor of Medicine in the Faculty of Medical and Human Sciences



Ewen Alexander Griffiths

2006

School of Medicine

This project was undertaken in the Department of Gastrointestinal Surgery, South Manchester University Hospitals NHS Trust, Wythenshawe Hospital, South Moor Road, Manchester, M23 9LT and the Academic Department of Radiation Oncology, Christie Hospital NHS Trust, Christie Hospital, Wilmslow Road, Withington, Manchester, M20 4BX

DEDICATION

To Uncle Ron who died of gastric cancer.

To my dearest cousin Alex who will be sadly missed.

TABLE OF CONTENTS

DEDICATION	N	2
TABLE OF (CONTENTS	3
LIST OF FIC	GURES	7
LIST OF TA	BLES	9
LIST OF AB	BREVIATIONS	12
ABSTRACT		14
DECLARAT	ION	15
COPYRIGH [*]	Γ STATEMENT	15
PREFACE		15
ACKNOWL	EDGEMENTS	16
1. INTRO	DUCTION	17
1.1 EP	IDEMIOLOGY	17
1.1.1	Oesophageal cancer	18
1.1.2	Gastro-oesophageal junction cancer	20
1.1.3	Gastric cancer	
1.2 ST.	AGING	27
1.3 TR	EATMENT	28
1.3.1	Oesophageal cancer	29
1.3.2	Gastro-oesophageal junction	
1.3.3	Gastric cancer	
1.4 CA	RCINOGENESIS	
1.4.1	Oesophageal (adenocarcinoma) carcinogenesis	38
1.4.2	Gastro-oesophageal junctional carcinogenesis	
1.4.3	Gastric carcinogenesis	
1.5 PR	OGNOSTIC FACTORS	
1.5.1	Current clinico-pathological prognostic factors in oesophagogastric	
cancer		52
1.5.2	Prognostic factors in oesophageal and gastro-oesophageal cancer	54
1.5.3	Prognostic factors in gastric adenocarcinoma	
1.5.4	Molecular prognostic factors	
1.6 TU	MOUR HYPOXIA	
1.6.1	Measuring tumour hypoxia	
1.6.2	Oxygen electrode measurements of tumour hypoxia	
1.6.3	Exogenous markers of hypoxia	
1.6.4	Non-invasive measurements of tumour hypoxia	63
1.6.5	Hypoxia and cancer treatment resistance	
1.7 HY	POXIA-INDUCIBLE FACTOR-1	
1.7.1	HIF-1α expression as a prognostic factor	
1.7.2	HIF-1α expression as a predictive factor for response to radiation an	
chemoth	perapy	
1.7.3	HIF-1α regulated products	
1.7.4	HIF-2α and other HIF isoforms	
1.7.5	HIF-1α expression in benign and malignant gastric tissue and its	
	role in Helicobacter pylori induced carcinogenesis	72
-	F-1α AND HYPOXIA INDUCIBLE PRODUCTS AS PROGNOSTIC	
	S IN GASTRIC CANCER	74
1.8.1	Carbonic anhydrase-9 (CA-9) and gastric cancer	
1.8.2	Glut-1 and gastric cancer	
	(7	_

	1.8.3	Erythropoietin receptor (Epo-R) and gastric cancer	
	1.8.4	Inducible nitric oxide synthase (iNOS) and gastric cancer	76
	1.8.5	Vascular endothelial growth factor (VEGF) and micro-vessel density	
	(MVD)	in gastric cancer	
	1.8.6	Targeting HIF-1 α as a therapeutic approach in gastric cancer	79
	1.9 AI	MS OF THE THESIS	80
2	. PATIE	NTS, MATERIALS AND METHODS	82
		UDY APPROVAL	
		JMMARY OF PATIENTS INCLUDED	
	2.3 CI	LINCIAL PROGNOSTIC FACTORS IN OESOPHAGEAL CANCER	83
	2.3.1	Inclusion and exclusion criteria	
	2.3.2	Surgical and oncological treatment	84
	2.3.3	Pathological processing of the surgical specimens	
	2.3.4	Data collection	85
		LINICAL PROGNOSTIC MARKERS IN GASTRIC AND GASTRO-	
	OESOPH	AGEAL JUNCTION CANCERS	
	2.4.1	Data collection	
		OLECULAR PROGNOSTIC MARKERS IN GASTRIC AND GASTRO	
		AGEAL JUNCTION CANCER	89
		OLECULAR MARKERS IN GASTRIC AND OESOPHAGEAL	
		OGENESIS	
	2.6.1	Description of cell types in oesophageal and gastric biopsies	
	2.6.2	Gastric endoscopic biopsy specimens	
	2.6.3	Oesophageal endoscopic biopsy specimens	
		IMUNOHISTOCHEMISTRY	
	2.7.1	Immunohistochemistry for HIF-1a	98
	2.7.2	Immunohistochemistry for EPO, EPO-R, Glut-1, HIF-2α, Ki-67 and	
	VEGF		
	2.7.3	Tissue controls	
	2.7.4	Antibody visualisation	
	2.7.5	Assessment of HIF-1α and HIF-2α staining	99
	2.7.6	Assessment of immunohistochemical scoring in the carcinogenesis	
	studies		99
		COSPECTIVE PIMONIDAZOLE STUDY	102
	2.8.1	Patients recruited	
	2.8.2	Administration of pimonidazole	
	2.8.3	Immunostaining for pimonidazole adducts	
	2.8.4	Scoring for pimonidazole staining	
	2.8.5 2.9 ST	RNA Extraction for cDNA microarray ATISTICS	
2		CAL PROGNOSTIC FACTORS IN OESOPHAGEAL CANCER	
3		TRODUCTIONTRODUCTION TRODUCTION TRODU	
	3.1.1		
	3.1.1 3.1.2	Tumour length as a prognostic marker	
	3.1.2 3.1.3	Circumferential resection margin (CRM) as a prognostic marker Aims	
		SULTS	
	3.2. KI	Patient characteristics	
	3.2.1 3.2.2	Tumour length as a prognostic marker	
	3.2.3	CRM as a prognostic marker	
		1 0	174

3.3	B. <i>1</i>	Tumour length as a prognostic factor	124
3.3	3.2	CRM as a prognostic marker	
3.4	CC	ONCLUSIONS	
3.4	1. 1	Tumour length as a prognostic marker	133
3.4	1.2	CRM as a prognostic marker	
4. CL	INIC	CAL PROGNOSTIC FACTORS IN GASTRIC AND GASTRO-	
		EAL CANCER	135
4.1	IN	TRODUCTION	135
4.2	AII	MS	137
4.3	RE	SULTS	138
4.3		Summary of patients	
4.3	3.2	Presenting symptoms and diagnosis	140
4.3	3. <i>3</i>	Treatment	
4.3	3.4	Involvement of the surgical resection margins	145
4.3	3.5	Adverse post-operative events	
4.3	3.6	Prognostic factors	
4.3	3. <i>7</i>	Univariate survival analysis	
4.3	3.8	Multivariate survival analysis	158
4.3	3.9	Comparison between GOJ and gastric adenocarcinomas	158
4.4	Dis	SCUSSION	162
4.4	1.1	Presenting symptoms	162
4.4	1.2	Disease diagnosis	163
4.4	1.3	Surgical treatment	
4.4	1.4	Post-operative morbidity and mortality	164
4.4	1.5	Prognostic factors	166
4.4	1.6	Limitations and benefits of this study	169
4.4	1.7	Differences between GOJ and gastric adenocarcinomas	169
4.5		NCLUSIONS	173
5. MO	OLEC	CULAR PROGNOSTIC MARKERS IN GASTRIC AND GASTRO-	-
OESOP	HAG	EAL JUNCTION CANCERS	174
5.1	INT	TRODUCTION	174
5.2	AII	MS	174
5.3	RE	SULTS	175
5.3	3. <i>1</i>	Study group	175
5.3	3.2	Expression of HIF-1a in surgically resected specimen	175
5.3	3. <i>3</i>	Expression of HIF-2α in surgically resected specimen	178
5.3	3. <i>4</i>	Marker scoring	
5.3	3. <i>5</i>	Relation between HIF-1a expression and clinico-pathological feat	tures
			180
5.3	3.6	Relation between HIF-2α expression and clinico-pathological feat	tures
			182
5.3	3. <i>7</i>	Relationship between HIF-1\alpha and HIF-2\alpha expression and patient	
sur	rvival		183
5.4		SCUSSION	
		NOHISTOCHEMICAL EXPRESSION OF HYPOXIA ASSOCIATE	
MARK		IN GASTRIC AND OESOPHAGEAL CARCINOGENESIS	
6.1		TRODUCTION	
6.2		MUNOHISTOCHEMICAL MARKERS USED IN THIS CHAPTER	
6.3	AII	M	
6.4	RE	SULTS	201

6.4.1 Gastric carcinogenesis	201
6.4.2 Oesophageal carcinogenesis	
6.5 DISCUSSION	
6.5.1 GASTRIC CARCINOGENESIS	223
6.5.2 OESOPHAGEAL CARCINOGENESIS	228
6.6 CONCLUSION	234
7. PROSPECTIVE PIMONIDAZOLE STUDY	
7.1 Introduction	235
7.2 AIMS	237
7.3 SETTING UP OF THE STUDY	237
7.3.1 Sample size and statistical power calculations	237
7.3.2 Protocol development	
7.3.3 Summary of patients recruited	
7.3.4 Pimonidazole adduct staining and scoring	
7.3.5 RNA extraction	245
7.4 DISCUSSION AND CONCLUSION	248
8. FINAL DISCUSSION AND FUTURE DIRECTION	249
8.1 CLINICAL PROGNOSTIC FACTORS IN OESOPHAGEAL CANCER	249
8.1.1 Tumour length as a prognostic factor	249
8.1.2 CRM involvement as a prognostic factor	
8.2 CLINICAL PROGNOSTIC FACTORS IN GASTRIC AND GASTRO-OESOPHAGEAI	Ĺ
JUNCTION CANCER	250
8.3 MOLECULAR PROGNOSTIC FACTORS IN GASTRIC AND GASTRO-OESOPHAG	EAL
JUNCTION CANCER	250
8.4 MOLECULAR MARKERS IN GASTRIC AND OESOPHAGEAL CARCINOGENESIS	3252
8.5 PROSPECTIVE STUDY ASSESSING HYPOXIA IN OESOPHAGOGASTRIC	
ADENOCARCINOMA	252
REFERENCES	254
APPENDIX I: Publications arising from this thesis	275
APPENDIX II: Other material	275

LIST OF FIGURES

		Page
1.1	Trends in standardised incidence and mortality rates (per 100,000 population) for oesophageal and gastric cancer, among men and women in England & Wales,	
	1971-2001	19
1.2 1.3	Seiwert's classification of gastro-oesophageal junctional tumours The proposed sequence of cellular changes in Barrett's adenocarcinoma	21
	development (the metaplasia-dysplasia-adenocarcinoma sequence)	40
1.4	The Correa hypothesis and proposed carcinogenic mechanisms	47
1.5	Genetic and molecular alterations in gastric cancer	48
1.6	Schematic representation of diffusion limited (chronic hypoxia) and perfusion limited (acute hypoxia)	59
1.7	The hypoxia-inducible factor (HIF) pathway	73
2.1	Oesophageal resection specimen showing inking of the circumferential resection margin.	87
2.2	Oesophagogastrectomy specimen showing a typical gastro-oesophageal junction	07
2 2	tumour	87 05
2.3	H&E photomicrographs of different cell types observed in the sequences H&E photomicrographs of the stages of gastric cancer progression	95 96
2.4		90 97
2.5 3.1	H&E photomicrographs of the stages of Barrett's oesophageal cancer sequence Box and whisker distribution of tumour length (cm) versus T stage and overall	
2.2	TNM stage in 309 patients with oesophageal cancer	111
3.2	Relationships of tumour length (cm) with total number of metastatic lymph notes and total number of lymph nodes examined in 309 patients with oesophageal	
	cancer.	112
3.3	Overall survival in relation to clinico-pathological variables in 282 patients with oesophageal cancer treated by surgical resection	115-116
3.4	Overall survival in relation to tumour length in patients with oesophageal cancer treated by surgical resection	117
3.5	Kaplan-Meier survival graphs of overall survival in relation to CRM status for all patients in the study	123
3.6	Anatomical differences between the rectal and oesophageal tumour locations	
	shown by radiological imaging and pathological specimens	130
4.1	Distribution of patient age and gender by tumour sub-site	138
4.2	Presenting symptoms of 151 patients with gastro-oesophageal junction	
	adenocarcinoma	140
4.3	Presenting symptoms of 100 patients with gastric adenocarcinoma	141
4.4	Consultant surgeon speciality operating on 251 patients with gastro-oesophageal	
	junction and gastric adenocarcinoma at South Manchester University Hospitals	
	NHS Trust between 1995 and 2004	142
4.5	Univariate Kaplan-Meier analyses	154-157
4.6	Kaplan-Meier survival analysis comparing the disease-specific survival of gastro- oesophageal junctional tumours versus non-cardia gastric cancer	161
5.1	Photomicrographs of HIF- 1α immunohistochemistry in resected gastric cancer specimens	177
5.2	Photomicrographs of HIF-2α staining in gastric cancer	178
5.3	HIF-1α expression and patient outcome in all 177 tumours and those with non-	
<i>5</i>	cardia gastric cancers (n=80) or gastro-oesophageal junction tumours	186
5.4	HIF-2 α expression and patient outcome in 172 tumours including non-cardia	107
5.5	gastric cancers (n=80) and gastro-oesophageal junction tumours (n=92) The combination of HIF-1α and HIF-2α in relation to patient outcome in 172	187
	tumours including non-cardia gastric cancers (n=80) and gastro-oesophageal	
	junction tumours (n=92)	188

5.6	Hypothesis: the different prognostic outcomes of the different pattern of HIF-1 α expression may be related to induction of different downstream HIF-1 α target	
	molecules	19
6.1	Representative photomicrographs of HIF-1α, HIF-2α and VEGF	
	immunohistochemistry in the gastric cancer progression sequence	20
6.2	Representative photomicrographs of Epo, Epo-R, Glut-1 and Ki67	
	immunohistochemistry in the gastric cancer progression sequence	20
6.3	Box and whisker plots of each immunohistochemical marker in the gastric	
	carcinogenesis sequence.	20
6.4	Mean rank score (Kruskall-Wallis test) of each marker in relation to the gastric	
	carcinogenesis sequence	20
6.5	Scatter plots with regression lines (and 95% confidence lines) for markers which	
	achieved a Spearman's rank correlation score of greater than 0.5 in the gastric	
	carcinogenesis study	21
6.6	Representative photomicrographs of HIF-1α, HIF-2α and VEGF in Barrett's	
	metaplasia-dysplasia-adenocarcinoma sequence	21
6.7	Representative photomicrographs of Epo, Epo-R, Glut-1 and Ki67 in Barrett's	
	metaplasia-dysplasia-adenocarcinoma sequence	21
6.5	Box and whisker plots of each immunohistochemical marker in the Barrett's	
	sequence	21
6.6	Mean rank score (Kruskall-Wallis test) of each statistically significant marker in	
	the Barrett's carcinogenesis study	22
6.7	Scatter plots with regression lines (and 95% confidence lines) for markers which	
	achieved a Spearman's rank correlation score of greater than 0.5 in the	•
	oesophageal carcinogenesis study	22
6.8	Diagrammatic representation showing how <i>H. pylori</i> , HIF-1α and other hypoxia	22
7 1	associated markers may be involved in gastric carcinogenesis	22
7.1	Kaplan-Meier estimate of survival from a previous cohort of patients with oesophagogastric adenocarcinoma treated with surgery at SMUHT	23
7.2		23
7.2 7.3	Piecewise exponentials from which the simulations have been drawn Diagram of the patient pathway	23
7.3 7.4	Photomicrograph of representative section of pimonidazole adduct staining	24
7. 4	Example of an RNA extraction trace which is produced using the Nanodrop	44
1.3	spectrophotometer	24
8.1	Construction of a TMA from specimen blocks used in this thesis	25
8.2	Dendogram from a study of 59 head and neck cancer patients	25
J.=	2 on a of an in the analy of a a new and need ouncer purions	

LIST OF TABLES

		Page
1.1	Tumour around the gastro-oesophageal junction: classification system and	
	principal differences between subtypes	22
1.2	Differing characteristics of intestinal and diffuse type gastric adenocarcinoma	24
1.3	Main differences in gastric adenocarcinoma in Western countries and Japan	26
1.4	Comparison between the oesophageal and gastric TNM staging	27
1.5	Reasons for improved results for oesophagogastric resection	29
1.6	The advantages and disadvantages of each operative approach in the treatment of oesophageal cancer	34
1.7	Types of lymphadenectomy for gastric carcinoma	3 4 37
1.8	Clinical risk factors predisposing to Barrett's adenocarcinoma	42
1.0 1.9	Molecular markers associated with cancer progression in Barrett's oesophagus	42 44
1.10	Protective and risk factors for gastric adenocarcinoma	46
1.11	Current established and putative prognostic factors for oesophageal, gastro-	40
1,11	oesophageal junction and gastric cancer	53
1.12	Comparison and applicability of different methods for assessing prognostic	33
1,14	markers	57
1.13	Promising molecular markers of prognosis in oesophagogastric cancer	58
1.14	Advantages and disadvantages of various methods of measuring tumour hypoxia	62
1.15	HIF expression and prognosis in different tumour sites	68-69
1.16	HIF-1 target genes	71
1.17	The expression of HIF-1 inducible genes in gastric adenocarcinoma	7 1 78
2.1	Overview of patients studied in the thesis	83
2.1	Operative treatment in each study group	84
2.3	Summary of patients included in the studies assessing clinical prognostic factors	04
2.5	in oesophageal cancer (Chapter 3).	86
2.4	Inclusion and exclusion criteria	90
2.5	Characteristics of patients included in the carcinogenesis studies	91
2.6	Histopathological definitions of the endoscopic biopsies used in the study	92
2.7	Definitions of cells types in columnar-lined oesophagus (CLO)	93
2.8	Table summarising the immunohistochemical methods, including antibodies,	75
2.0	dilution, incubation, and detection methods used in the studies	100
2.9	Tissue controls used	101
2.10	Inclusion and exclusion criteria for the prospective pimonidazole study	102
3.1	Previous studies assessing the prognostic impact of CRM status in oesophageal	102
	cancer	108
3.2	The distribution of 309 patients with oesophageal cancer according to their tumour	
	length and clinicopathological features	110
3.3	Univariate survival analysis of clinico-pathological variables in 282 patients with	
	oesophageal cancer treated by surgical resection	114
3.4	Multivariate analysis of prognostic factors for overall survival using Cox's	
	proportional hazards model	118
3.5	Clinicopathological features of patients in relation to CRM involvement (n= 249)	120
3.6	Treatment outcome analysis for patients who had potentially curative surgery	
	(n=225)	122
3.7	Multivariate survival analysis	123
4.1	Aims of several recent institutional or regional audits of upper gastrointestinal	40-
4.0	cancer performed in the UK	136
4.2	Clinico-pathological characteristics of 251 patients with gastric or GOJ	400
4.2	adenocarcinoma	139
4.3	Method of diagnosis	141

4.4	Surgical specialty of the consultant surgeon performing resection for patients with gastric and GOJ adenocarcinoma	142
4.5	The types of neo-adjuvant therapy given to patients with gastro-oesophageal	1.2
т.Э	junctional adenocarcinoma	143
4.6	Primary operative procedure performed together with any additional surgical	140
7.0	resection (n=251)	144
4.7	Involvement of the each surgical resection margin in each specimen	145
4.8	Post-operative mortality by operative procedure	146
4.9	Details of the 25 patients who died after surgical resection	147
4.10	Post-operative medical complications	148
4.11	Post-operative surgical complications	149
4.12	Outcome status of the 251 patients with gastro-oesophageal and gastric	17)
T.1.2	adenocarcinoma	150
4.13	Univariate survival analyses of clinico-pathological factors for all gastro-	130
4.13	oesophageal and gastric adenocarcinomas	152-153
4.14	Multivariate analysis of prognostic factors for survival using the Cox proportional	132-133
4.14	hazards model	158
4.15		130
4.15	Clinicopathological comparison between different Siewert types and non-cardia	150
116	gastric cancer	159
4.16	Comparison between GOJ and non-cardia gastric cancers	160
4.17	Criteria for urgent investigation of suspected upper gastrointestinal cancer under	162
4 10	the National Health Service "two week rule"	162
4.18	Other UK studies that have assessed post-operative morbidity and mortality	165
4 10	following surgery for oesophagogastric cancer	165
4.19	Reasons or theories why GOJ tumours have a worse prognosis than gastric	171
4.20	tumours The 'Livermeel' election of essential contains	171
4.20	The 'Liverpool' classification of oesophagogastric cancer location	172
5.1	Inter-observer agreement between Scorer 1 and Scorer 2 for assessment of HIF-1α	170
<i>5</i> 2	and HIF-2α score	179
5.2	Comparison between HIF-1 α and HIF-2 α scores in 171 resection specimens	180
5.3	The distribution of patients according to their tumour expression of HIF-1 α	101
5 A	(negative versus positive) and clinico-pathological characteristics	181
5.4	The distribution of patients according to their tumour expression of HIF-1 α	103
<i>5 5</i>	(according to staining pattern) and clinico-pathological characteristic	182
5.5	The distribution of patients according to their tumour expression of HIF-2α	102
- ((negative versus positive) and clinico-pathological characteristics	183
5.6	Univariate survival analysis of prognostic factors following surgical resection in	105
<i>-</i> -	gastric and gastro-oesophageal cancer	185
5.7	Multivariate survival analysis of prognostic factors following surgical resection in	100
= 0	gastric and gastro-oesophageal cancer	189
5.8	Other studies which have shown HIF-1a expression to be associated with an	102
<i>(</i> 1	improved prognosis	193
6.1	Molecular markers studied and the tumour types where there is published work	100
	assessing a role in carcinogenesis	199
6.2	Potential mechanism of carcinogenesis for each of the immunohistochemical	200
()	markers studied	200
6.3	Correlation of scoring between Scorer 1 and Scorer 2 for each	20.
	immunohistochemical marker studied in the gastric carcinogenesis sequence	205
6.4	Overall percentiles for each immunohistochemical marker score [†] in the gastric	206
	carcinogenesis sequence	206
6.5	Kruskall-Wallis and Jonckheere-Terpstra test results for each	20-
	immunohistochemical marker studied in the gastric carcinogenesis sequence	207
6.6	Spearman's rank correlation between the different immunohistochemical marker	210
	scores in the gastric carcinogenesis sequence.	210

6.7	Correlation of scoring between Scorer 1 and Scorer 2 for each	
	immunohistochemical marker studied in the oesophageal carcinogenesis sequence	216
6.8	Overall percentiles for each immunohistochemical marker score in the	
	oesophageal carcinogenesis sequence	217
6.9	Kruskall-Wallis and Jonckheere-Terpstra test results for each	
	immunohistochemical marker studied in the oesophageal carcinogenesis sequence	218
6.10	Spearman's rank correlation between the different immunohistochemical marker	
	scores in the oesophageal carcinogenesis sequence	221
6.11	Risk of progression to adenocarcinoma and estimated percentage risk per year of	
	different grades of dysplasia	232
6.12	Factors for and against the use of surveillance endoscopy in Barrett's oesophagus	233
7.1	Previous studies that have used pimonidazole to assess hypoxia in human tumours	236
7.2	Summary of the patients recruited so far who had research biopsies taken at	
	staging laparoscopy	241
7.3	Summary of the patients recruited so far who had research biopsies taken from the	
	surgical specimen after resection	242
7.4	Pimonidazole adduct scoring on the endoscopic biopsies specimens	244
7.5	Pimonidazole adduct scoring on the resected tumour specimens	245
7.6	Table of RNA extraction results in the patients recruited so far in to the	
	prospective study	247

LIST OF ABBREVIATIONS

	LIST OF ADDI		10115
15-Lox-1	15-lipoxygenase-1	FGD	¹⁸ F-label glucose analogue 2-
5-FU	5-flurouracil		fluro-2-deoxy-D-glucose
AB	antibody	FHIT	fragile histidine triad gene
ABC	avidin-biotin complex	GAMBO	goat anti-mouse biotinylated
AF	atrial fibrillation	GAMDO	antibody
APC	adenomatous polyposis coli	GI	gastrointestinal
_		GIST	
APeS ARDS	aminopropyltriethoxysilane	Glot-1	gastrointestinal stromal tumour
AKDS	adult respiratory distress		glucose transporter-1
ADNIT	syndrome	GOD	gastro-oesophageal junction
ARNT	aryl hydrocarbon receptor nuclear	GORD	gastro-oesophageal reflux disease
	translocator	H&E	haematoxylin & eosin
ASA	American Society of	H. pylori	helicobacter pylori
	Anaesthesiologists	HGD	high grade dysplasia
ASCOT	Assessment of Stomach and	HIF	hypoxia-inducible factor
	Oesophageal Cancer Outcomes	HR	hazard ratio
	and Treatment	HRE	hypoxia-responsive elements
AUGIS	Association of Upper	hTERT	telomerase reverse transcriptase
	Gastrointestinal Surgeons of Great	IARC	International Agency for Research
	Britain and Ireland		and Cancer
BCG	intra-vesical bacille Calmette-	IGF	insulin like growth factor
	Guerin	IHC	immunohistochemistry
BCL-2	B-cell CLL/lymphoma-2	IL	interleukin
BSG	British Society of	IM	intestinal metaplasia
	Gastroenterology	IMP	investigational medicinal product
CAG	cag pathogenicity island	iNOS	inducible nitric oxide synthase
CA-9	carbonic anhydrase-9	IRS	immunoreactive score
CD44	CD44 antigen	ISH	in situ hybridisation
CDC25B	cell division cycle 25B	Ki-67	MIB-1, proliferation antigen
CDH1	E-cadherin gene	K-Ras	v-Kiras2 Kirsten rat sarcoma viral
CEA	carcinoembryonic Antigen		oncogene homologue
c-erbB2	v-erb-b2 erythroblastic leukaemia	K-sam	encodes fibroblast growth factor
	viral oncogene homologue 2		receptor 2
CI	confidence interval	LDH	lactate dehydrogenase
CLO	columnar-lined oesophagus	LGD	low grade dysplasia
c-Met	met proto-oncogene (hepatocyte	LREC	local research ethics committee
	growth factor receptor)	LRM	longitudinal resection margin
COX-2	cycloxygenase-2	LTA	left thoracoabdominal approach
CR	complete response	LVF	left ventricular failure
CRM	circumferential resection margin	MALT	mucosa-associated lymphoid type
CT	computer tomography		B-cell lymphoma
CTA	clinical trial authorisation	MHRA	Medicines and Healthcare
CVA	cerebrovascular accident		products Regulatory Agency
DAB	3, 3'- diaminobenzidine	MMP	matrix metalloproteinase
DCC	deleted in colon cancer	Mono	monoclonal antibody
DNA	deoxyribonucleic acid	Morb	morbidity
DRM	distal resection margin	Mort	mortality
ECF	epirubicin, cisplatin and	MRC	Medical Research Council
	fluorouracil	MRI	magnetic resonance imaging
EDTA	ethylenediaminetetraacetic acid	MSI-H	microsatellite instability-high
EF-5	2-nitroimadazole compound	MUC	mucin gene
EGF	epidermal growth factor	MVD	Micro-vessel density
EGFR	epidermal growth factor receptor	NGT	nasogastric tube
ELISA	enzyme linked immuno-sorbent	NICE	National Institute of Clinical
	assay		Excellence
EMR	endoscopic mucosal resection	nm23	non-metastatic protein cells 1
Epo-R	erythropoietin receptor	NO	nitric oxide
EUS	endoscopic ultrasound	-	
·-	1		

NSAID	non-steroidal anti-inflammatory	SCC	squamous cell carcinoma
	drugs	SIP1	SMAD-interacting protein 1
NSCLC	non small cell lung cancer	Smad4	Also called Dpc4; tumor
O/N	overnight		suppressor in the TGF-β signalling
OGD	oesophago-gastro-duodenoscopy		pathway
PCR	polymerase chain reaction	SMUHT	South Manchester University
PDT	photodynamic therapy		Hospitals NHS Trust
PE	pulmonary embolism	SPECT	single photon emission computed
PET	positron emission tomography		tomography
PGE_2	prostaglandin-E2	TBS	Tris-buffered saline
PNCA	proliferating cell nuclear antigen	TGF-α/β	Transforming growth factor-α/β
Poly	polyclonal antibody	TMA	tissue microarray
POSSUM	Physiological and operative	TNF-α	tumour necrosis factor-α
	severity score for enumeration of	TNM	tumour node metastases staging
	mortality and morbidity		system
PPI	proton pump inhibitors	TNT	Tris-HCL/NaCl/Tween
PRM	proximal resection margin	TP	thymidine phosphorylase
Prosp	prospective	tp16	tumour protein 16
PTEN	phosphatase and tensin homolog	tp53	tumour protein 53
	deleted on chromosome ten	TSA	tyramide signal amplification
R&D	research & development	TUR	transurethral resection of bladder
RAMBO	rabbit anti-mouse biotinylated		tumour
	antibody	twist-1	twist homologue 1
RB	retinoblastoma	UICC	International union against cancer
Retro	retrospective	UTI	urinary tract infection
RNA	ribonucleic acid	VEGF	vascular endothelial growth factor
RNS	reactive nitrogen species	\mathbf{VHL}	von-hippel-lindau protein
ROS	reactive oxygen species	WHO	World Health Organisation
RT	reverse transcriptase	YC-1	3-(5'-hydroxymethyl-2'-furyl1)-1-
RT	room temperature		benzylindazole
SAGOC	Scottish Audit of Gastric and		

Oesophageal Cancer

ABSTRACT

THE UNIVERSITY OF MANCHESTER

ABSTRACT OF THESIS submitted by Ewen A. Griffiths for the Degree of Doctor of Medicine and entitled 'Assessment of hypoxia associated markers in oesophagogastric cancer' July 2006.

Introduction: There is a need to increase understanding of oesophagogastric cancer biology and develop methods for determining prognosis. Hypoxia is implicated in the aetiology and prognosis of a number of cancers, but has not been studied in oesophagogastric cancer. It is important to understand the patient and tumour characteristics that might influence biological data. For example, tumour length and circumferential resection margin (CRM) status have not been adequately assessed as prognostic markers in oesophageal cancer.

Aims: 1) To investigate the relationship of tumour length and CRM with other histopathological variables and survival in patients with surgically treated oesophageal cancer. 2) To establish a retrospective database of patients with gastric and gastro-oesophageal junctional (GOJ) cancer; analyse relevant clinico-pathological prognostic factors prior to molecular marker analysis. 3) To investigate HIF-1 α and HIF-2 α expression as prognostic markers in gastric and GOJ cancer. 4) To investigate HIF-1 α and other related markers (HIF-2 α , Epo, Epo-R, Glut-1, Ki67, VEGF) in oesophageal and gastric adenocarcinoma carcinogenesis. 5) To establish a prospective study to measure tumour hypoxia (by pimonidazole staining).

Findings: 1) Both tumour length and CRM status were independent prognostic factors in surgically treated patients with oesophageal cancer. 2) A retrospective database of 251 patients was established. Only additional surgical resection of the spleen or pancreas and ASA grade were independent predictors of prognosis. 3) In 177 patients for whom tissue was obtained, HIF-1α expression had no prognostic significance. However, HIF-1α expression pattern was a significant predictor of survival on univariate analysis; patients with HIF-1α expression at the invasive edge had a median survival of only 18 mths compared with 33 mths in HIF-1α negative tumours. HIF-2α expression was a prognostic factor on univariate analysis. Neither HIF-1α nor HIF-2α had independent prognostic significance. 4) The expression of the hypoxia associated markers increased significantly from normal tissue to invasive malignancy in both the oesophageal and gastric carcinogenesis models. 5) A prospective study was established after LREC and R&D approval was obtained. Data for the first 9 patients enrolled showed intra and inter-tumoral variation in hypoxia.

Conclusions: 1) The development of imaging approaches for assessing tumour length pre-operatively would be of value. CRM should continue to be reported on routine histopathology. 2) There are clinico-pathological and prognostic differences between GOJ and other gastric tumours. A standard classification of GOJ tumours should be adopted internationally. 3) The dependence of HIF- 1α as a prognostic factor on staining pattern may be due to its differential regulation of down-stream molecules. As neither HIF- 1α or HIF- 2α had independent prognostic significance, they are unlikely to play a role as single markers of prognosis. The high expression of HIF- 2α suggests its further study as a therapeutic target would be of value. 4) HIF- 2α should be assessed as a predictive marker of disease progression in patients with Barrett's dysplasia. 5) Some oesophagogastric cancers are strongly hypoxic.

DECLARATION

No portion of the work referred to in the thesis has been submitted in support of an application for another degree or qualification of this or any other university or other institute of learning.

COPYRIGHT STATEMENT

- (1) Copyright in text of this thesis rests with the Author. Copies (by any process) either in full, or of extracts, may be made **only** in accordance with instructions given by the Author and lodged in the John Rylands University Library of Manchester. Details may be obtained from the Librarian. This page must form part of any such copies made. Further copies (by any process) of copies made in accordance with such instructions may not be made without the permission (in writing) of the Author.
- (2) The ownership of any intellectual property rights which may be described in this thesis is vested in the University of Manchester, subject to any prior agreement to the contrary, and may not be made available for use by third parties without the written permission of the University, which will prescribe the terms and conditions of any such agreement.
- (3) Further information on the conditions under which disclosures and exploitation may take place is available from the Head of the Department of Academic Radiation Oncology.

PREFACE

Ewen A Griffiths graduated MB ChB from Dundee University in 2000. He undertook basic surgical training in the North East of England and passed the Membership of Royal College of Surgeons (Glasgow) examination in 2003. Work carried out in this thesis was completed whilst he was employed as a Surgical Research Fellow in the Department of Gastrointestinal Surgery, South Manchester University Hospitals NHS Trust and the Academic Department of Radiation Oncology, Christie Hospital NHS Trust. In February 2006 he was appointed to the North West general surgical specialist registrar rotation and plans to sub-specialise in Oesophagogastric surgery.

ACKNOWLEDGEMENTS

First and foremost I would like to thank my supervisors Dr Catharine West and Mr Ian Welch for their help and all the support they have given me over the last two years. I also greatly appreciate the priceless assistance that Helen Valentine provided with the laboratory side of the project and her patience with me whilst I learnt new techniques. In addition I am indebted to Dr Susan Pritchard for all the hard work she has put in scoring slides and helping with the pathological aspects of the projects. I also thank Dr Stephen McGrath for being the second slide scorer.

There are many other people I would like to thank; without them this project would never have been as successful as it has proved to be:

- Staff at Wythenshawe Hospital; in particular staff of the Department of Histopathology, especially Dr Paul Bishop and Nigel Martin. Staff of the Clinical Audit Department, who where invaluable in obtaining patient records. Special credit is due to Carol Toner and Toni Yao obtaining hundreds of medical notes and to Stephen Bullough for searching the NHS tracking database. In addition, thanks are due to Fran Mellor, Upper GI Cancer Nurse Specialist and Mr Simon Galloway for helping to recruit patients into the prospective study. I am also most grateful to Jane Ellis for secretarial support and to Vivienne Benson and Susie Rushton, Pharmacy Department, for helping with the pimonidazole study.
- I would like to thank Prof Pat Price, Dr Jo Cresswell, Claire Brooks, Anne Mason and James Cullen from the Academic Department of Radiation Oncology for their help in supporting my research.
- I would like to thank Zoe Brummel and Nina Whitchelo who as medical students helped in initiating some of the projects which were developed in this thesis.
- I am grateful to Julie Morris, Head of Medical Statistics, Wythenshawe Hospital and David Ryder, Medical Statistics, Christie Hospital for helping me with statistical analysis. Also, Francesca Buff, Gray Institute, helped in the analysis of the Barrett's carcinogenesis data for which I'm very grateful.
- Finally I would like to thank all of the patients who kindly agreed to participate in the prospective study.